

Client Engagement Agreement

This Client Engagement Agreement (“Agreement”) carefully as it sets forth the understanding between you (the “Client”) and Alpha Financial Nordic (the “Alpha Nordic”). Either party may terminate the Agreement in writing at any time.

Services: Alpha Nordic will manage your investments and help you achieve your objectives per FIDUCIARY standards.



Investment Management



Planning



Personal Advisor

Investment Authority: The Client’s funds will be held at the Custodian of Record, and in this agreement the Client grants Alpha Nordic “discretionary authority” (limited to place trade orders and deduct advisory fees without requiring the Client’s prior authorization for each transaction). The Client has the right to revoke account authority by providing Alpha Nordic revised written instructions.

Confidentiality of Information: Alpha Nordic will regard Client information confidential, with disclosure only upon such terms and to such parties as designated by the parties as required by law.

Multiple Clients: If the Client is more than one individual, Alpha Nordic is authorized to accept the direction of either party and such direction will be binding on all parties. This authority does not extend to individual accounts (i.e., individual retirement accounts, etc.) unless Alpha Nordic receives the account holder’s prior written approval.

Assignment: Alpha Nordic will not assign this Agreement to any other party without the Client’s prior written consent.

Death or Disability: If the Client is a natural person, the death, disability or incompetency of the Client will not terminate or change the terms of this Agreement. However, the Client’s authorized representative may terminate this Agreement by giving written notice to Alpha Nordic.

Advisor fee: are asset-based, assessed on the ending balance each calendar month, and deducted on the 15th day of the investments at the Custodian of Record, following your written authorization. Our annualized fee schedule is tier based:

Services Included	Tier	Annual Fee	Monthly
Entourage Basic – all accounts: <ul style="list-style-type: none"> Professional portfolio management at your appropriate risk level Diversified ultra low-cost investments Alpha model portfolios with exposure to a broad range of companies and asset types. Additional for accounts over \$200,000: <ul style="list-style-type: none"> Dynamic Financial Plan Modern Money Management coaching Personal financial coach on call 	First \$ 200,000	1.1%	0.09%
	Next \$ 400,000	0.85%	0.07%
	Next \$ 400,000	0.65%	0.05%
	Next \$ 4 Million	0.45%	0.04%
	Next \$ 5 Million	0.35%	0.03%
	> \$10 Million	0.22%	0.02%

<p>Additional for accounts over \$3 Million:</p> <ul style="list-style-type: none"> • Advanced collaboration with your accountants and legal team • Limited FP&A for personal business 			
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Service Provider Fees: Alpha Nordic strives to keep investment cost as low as possible. However, any transactional or custodial fees assessed by the selected service providers and/or individual retirement account or qualified retirement plan account termination fees are borne by the Client and are as provided in the fee schedule of the Client’s service provider.

Disputes: If a dispute, controversy, or claim arises out of this Agreement and cannot be settled through direct negotiation between Alpha Nordic and the Client, the parties agree to first attempt resolution via voluntary mediation.

Entire Agreement/ Modification: This Agreement constitutes the final, complete and entire Agreement between the parties and supersedes all prior and contemporaneous understandings or agreements of the parties and is binding on and inures to the benefit of their respective heirs, representatives, successors, and assigns. This Agreement may be modified only by amendment in a writing signed by the parties to this Agreement, which specifically states that the amendment modifies this Agreement.

Governing Law: This Agreement shall be governed by the laws of the State of Arizona.

Execution

The Client certifies legal empowered to enter into or perform this agreement, and hereby acknowledges receipt of Part 2 of Form ADV that includes Alpha Nordic’s Statement of its Privacy Policy. The Client is hereby informed that he/she has the right to terminate the contract without fee or penalty within five (5) business days after entering into the contract. For the purposes of this provision, a contract is considered entered into when all parties to the contract have signed the contract or any other provisions of this contract notwithstanding.

Client(s):

/ Date

/ Date

For Alpha Financial Nordic:

Alex Boemark / Date

OPTION

I hereby opt-out of consent to electronic delivery of documents. I understand that I will receive no formal written communications from the Alpha Nordic via electronic means and that I may be charged current postage fees.